ATTORNEY'S DOCKET NO. TN 222/USYS-0083 AMENDMENT

215

SERIAL NO. 09/702,224 08/15/03

REMARKS

As per the Examiner's remarks, the above statement made in accordance with MPEP section 702(I) should place this Application in condition for allowance.

Claim 1 has been amended to resolve a previously undiscovered antecedent basis problem in that the word "application" has no preceding reference and was preceded by the definite article prior to this amendment. It is believed that this amendment should be acceptable as it does not change the claims in any substantive way.

The applicant respectfully requests this Application be allowed and passed to issue.

August 18, 2003

Respectfully submitted,

Michael B. Atlass

Attorney for Applicants Reg. No. 30,606

Tele No. 215-986-4111

Unisys Corporation

M.S. E8-114

Unisys Way

Blue Bell, PA 19424

(Copies of two Assignments and their recordation sheets are attached.)

Man 400 201 120

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, O.C. 20231



JUN 2 0 2001

JUNE 13, 2001 PATENT DEPARTMENT

PTAS

UNISYS CORPORATION LISE A. RODE UNISYS WAY MS/E8-114 BLUE BELL, PA 19424-0001



101671678<u>A</u>

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/23/2001

REEL/FRAME: 011655/0707

NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

IRWIN, JAMES S.

DOC DATE: 03/08/2001

ASSIGNOR:

TAMRI, SAMIR

DOC DATE: 03/15/2001

ASSIGNOR:

SCHOLZ, KARL WILMER

DOC DATE: 03/08/2001

ASSIGNEE:

UNISYS CORPORATION

UNISYS WAY

BLUE BELL, PENNSYLVANIA 19424-0001

SERIAL NUMBER: 09702224

FILING DATE: 10/31/2000

PATENT NUMBER:

ISSUE DATE:

UNISYS OGC

PAGE 07/20

011655/0707 PAGE 2

SAUNDRA BALLENGER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

04-12-2001

PATENT ONLY

U.S. DEPARTMENT OF COMMERCE



ORDATION FORM COVER SHEET

101671678 PATENTS ONLY

To the Honorable Director of Patents and Trademarks. Please record the attached

	the state of the s
1. Name of conveying party(ies):	
Torner C TRUTAL Coming Party (185).	2. Name and address of receiving party(ies):
James S. IRWIN; Samir TAMRI; Karl Wilmer SCHOLZ	Name: Unisys Corporation
	Street Address: Unisys Way
	City: Blue Bell State: Pennsylvania ZIP: 19424-0001
Additional name(s) of conveying party(ies) attached?	Additional name(s) & address(as) attached? YES NO
3. Nature of Conveyance:	
Assignment Merger	
Security Agreement Change of Name	
Correction of Assignment Recordation	
(previously recorded at Reel, Frame).	
☐ Other	
F	
Execution Date: March 8, 2001: March 15, 2001; March 8,	
2001	,
	,
4. Application number(s) or patent number(s):	
If this document is being filed together with a new applic	ation, the execution date of the application is:
A. Patent Application Number(s) 09/702,224	B. Patent Number(s)
Additional number(s) attached?	
	•
	6 Total museless of annihing and assessed
5. Name and address of party to whom correspondence	6. Total number of applications and patents involved: 1
concerning document should be mailed:	
Name: Lise A. Rode	7. Total fee (37 CFR 3.41); \$ 40
UNISYS Corporation	Enclosed
Unisys Way	
MS/E8-114	Authorized to be charged to deposit account
Blue Bell, PA 19424-0001	· /
Ditte Ben, FA 19424-0001	8. Deposit account number: 19-3790
	(Attach duplicate copy of this page if paying by deposit account.)
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9. Statement and signature,	
the original document.	mation is true and correct and any attached copy is a true copy of
ine original document.	11
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Name of Person Signing Signature	Date
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Washingto	n, D.C. 20231

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USPTO Form 1595 [R&P]

ASSIGNMENT

WHEREAS, we <u>James S. Irwin</u>, <u>Samir Tamri</u>, and <u>Karl Wilmer Scholz</u>, residing respectively at <u>55 Ream Road</u>, <u>Stevens</u>, <u>Pennsylvania 17578</u>; <u>333 Lancaster Avenue</u>, <u>Number 309</u>, <u>Frazer</u>, <u>Pennsylvania 19355</u>; and <u>203 Vasser Circle</u>, <u>Strafford</u>, <u>Pennsylvania 19087</u>, are the inventors of the invention(s) for which we have made application for Letters Patent to the United States, identified as Serial No. <u>09/702.224</u>, filed <u>31 October 2000</u>, entitled <u>DIALOG FLOW INTERPRETER DEVELOPMENT TOOL</u>, and

WHEREAS, Unisys Corporation, a corporation of the state of Delaware having a place of business at Unisys Way, Blue Bell, Pennsylvania 19424, is desirous of acquiring the entire right, title and interest in said invention(s), said application and in any patents which may be granted thereon;

NOW THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, we hereby assign, sell and transfer unto said Unisys Corporation, its successors and assigns (hereinafter "Assignee"), our entire right, title and interest in and to all inventions disclosed in said application, in and to said application and in and to any patents for the United States of America, its territories and possessions and all foreign countries resulting from said invention(s) and said patent application, including all provisional applications, divisions, continuations, reissues and extensions thereof, all rights to claim priority based thereon, all rights to file foreign applications on said invention(s), and all patents, reissues and extensions thereof, issuing for said invention(s) in the United States of America and in any and all foreign countries.

We hereby grant to Assignee the right to apply in our or in its own name for patents corresponding to the above invention(s) in countries foreign to the United States, and all priority rights resulting from the above-identified United States patent application. We hereby authorize and request the Director of Patents and Trademarks to issue any and all patents that may be granted for said invention(s) to Assignee, and we agree that we and our successors, assigns or legal representatives thereof, shall be legally bound without additional compensation (except for any statutory fee applicable to witnesses), but without cost to us, to promptly communicate to Assignee or its representatives, all facts known to us or in our possession respecting said invention(s) whenever requested, to testify in any legal proceedings, to sign all lawful papers, execute all divisional, continuing and reissue applications, to make all rightful oaths and to generally aid said Assignee, its successors and assigns, as and when requested by them, in obtaining in the name of the Assignee and in enforcing proper patent protection for said invention(s) on behalf of the Assignee, in the United States and all countries foreign thereto.

We hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which conflicts, or would be in conflict, with this Assignment.

The parties hereto acknowledge that they have specifically requested the present agreement to be drawn up in the English language.

1	nvento	

James S. Irwin	James Dynn	03/08/0
(Typed Name)	(Signature)	(Date)
STATE OF PRINSY IVANO COUNTY OF Cheller	10C	(2.1.9)
Sworn to and subscribed before me to	his 3 day of Mouch	, 20 <u>01</u> , by
Three C. Arrive Molecular Public Three C. Arrive Moleculary Public With the Seat, Montpulsery County Wy Arrive with Explaints Sept. 19, 2004 Meaning, Parisylvania Ausociation of Notarios	Notary Public	Sleuz
· ,	•	
	Inventor	
Samir Tamri		,
(Typed Name)	(Signature)	(Date)
STATE OF COUNTY OF		
Sworn to and subscribed before me the	iis day of	, 20, by

Notary Public

08/18/2003

<u>ASSIGNMENT</u>

WHEREAS, we James S. Irwin, Samir Tamri, and Karl Wilmer Scholz, residing respectively at 55 Ream Road, Stevens, Pennsylvania 17578; 333 Lancaster Avenue, Number 309. Frazer, Pennsylvania 19355; and 203 Vasser Circle, Strafford, Pennsylvania 19087, are the inventors of the invention(s) for which we have made application for Letters Patent to the United States, identified as Serial No. 09/702,224, filed 31 October 2000, entitled DIALOG FLOW INTERPRETER DEVELOPMENT TOOL, and

WHEREAS, Unisys Corporation, a corporation of the state of Delaware having a place of business at Unisys Way, Blue Bell, Pennsylvania 19424, is desirous of acquiring the entire right, title and interest in said invention(s), said application and in any patents which may be granted thereon:

NOW THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, we hereby assign, sell and transfer unto said Unisys Corporation, its successors and assigns (hereinafter "Assignee"), our entire right, title and interest in and to all inventions disclosed in said application, in and to said application and in and to any patents for the United States of America, its territories and possessions and all foreign countries resulting from said invention(s) and said patent application, including all provisional applications, divisions, continuations, reissues and extensions thereof, all rights to claim priority based thereon, all rights to file foreign applications on said invention(s), and all patents, reissues and extensions thereof, issuing for said invention(s) in the United States of America and in any and all foreign countries.

We hereby grant to Assignee the right to apply in our or in its own name for patents corresponding to the above invention(s) in countries foreign to the United States, and all priority rights resulting from the above-identified United States patent application. We hereby authorize and request the Director of Patents and Trademarks to issue any and all patents that may be granted for said invention(s) to Assignee, and we agree that we and our successors, assigns or legal representatives thereof, shall be legally bound without additional compensation (except for any statutory fee applicable to witnesses), but without cost to us, to promptly communicate to Assignee or its representatives, all facts known to us or in our possession respecting said invention(s) whenever requested, to testify in any legal proceedings, to sign all lawful papers, execute all divisional, continuing and reissue applications, to make all rightful oaths and to generally aid said Assignee, its successors and assigns, as and when requested by them, in obtaining in the name of the Assignee and in enforcing proper patent protection for said invention(s) on behalf of the Assignee, in the United States and all countries foreign thereto.

We hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which conflicts, or would be in conflict, with this Assignment.

The parties hereto acknowledge that they have specifically requested the present agreement to be drawn up in the English language.

	Inventor		
James S. Irwin			
(Typed Name)	(Signature)		(Date)
STATE OF COUNTY OF	,		, ,
Sworn to and subscribed before me this _	day of	, 20, by	
	Notary Public		
Samir Tamri	Inventor Con	•	
Typed Name) STATE OF Pennsylvania COUNTY OF Philadelphia	(Signature)		(Date)
Sworn to and subscribed before me this L	5 day of March	, 20 <u>01</u> , by H	egan Ja-
MEGAN . City of Phile	Notary Public TARIAL SEAL JAFRI, Notary Public adelphia, Phila County on Exercise Nov. 12, 2001	Algan	Joseph

2 .

Inventor	,
K. W. "Bill" Scholz	3/8/80
(Typed Name) (Signature)	(Date)
STATE OF PENNSYLVania	, , ,
Sworn to and subscribed before me this b day of Moule, 20 (<u>7</u> , by
Susan C. Kreuz, Norary Public Wilkruh Two, Montgarsery County My Controls sign Expires Sept 17	5
Notary Public	ノ

	Inventor		
K. W. "Bill" Scholz			
(Typed Name)	(Signature)	`	(Date)
STATE OF COUNTY OF			
Sworn to and subscribed befo	ore me this day of	, 20, by	

Notary Public



JUNE 10, 2002

UNISYS CORPORATION LISE A. RODE UNISYS WAY MS/E8-114 BLUE BELL, PA 19424-0001 PTAS HECEIVED

JUN 17 2002

Patent Department

Chief Information Officer Washington, DC 20231 www.uspto.gov

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RECORDATION DATE: 03/29/2002

REEL/FRAME: 012778/0342 NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

NORTON, LEWIS M. V

DOC DATE: 10/27/1999

ASSIGNOR:

DAHL, DEBORAH A.

DOC DATE: 10/27/1999 W

ASSIGNOR:

LINEBARGER, MARCIA C.

DOC DATE: 10/27/1999 (

FILING DATE: 10/29/1999

ASSIGNEE:

UNISYS CORPORATION

UNISYS WAY

BLUE BELL, PENNSYLVANIA 19424-0001

SERIAL NUMBER: 09430315 / PATENT NUMBER:

ISSUE DATE:

012778/0342 PAGE 2

SHARON LATIMER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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To the Honorable Director of Patents and	055106	ial documents or copy th	ereof
1. Name of conveying party(ies):		s of receiving party(ies):	
Lewis M. NORTON: Deborah A. DAHL: Marcia C. LINEBARGER 3 - 29-0 Additional name(s) of conveying party(ics) attached? YES N	Name: <u>Unisys Cor</u> Street Address: <u>Un</u> City: <u>Blue Bell</u> Sta	poration .	S 🖾 NO
3. Nature of Conveyance: Assignment		FINANCE SECTIO	OFFICE OF PUSILIC RECORDS
Execution Date: October 27, 1999		C	2
 4. Application number(s) or patent number(s): If this document is being filed together with a new app A. Patent Application Number(s) 09/430,315 Additional number(s) attached?	lication, the execution da B. Pa	ate of the application is:	1 42 H2
	le Toul		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Lise A. Rode UNISYS Corporation Unisys Way MS/E8-114 Blue Bell, PA 19424-0001	7. Total fee (37 CFR Enclosed Authorized 8. Deposit account n	to be charged to deposit a	account
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Statement and signature. To the best of my knowledge and belief, the foregoing in the original document. Lise A. Rode Name of Person Signing 7.226 Registration, No.	·Voz	Date	y is a true copy of
Total number of pages including	cover sbeet, attachments, an	id document: 4	
OMB No. 0651-0011 (exp. 4/94) Do not d Mail documents to be recorded with required cover sheet informa	etach this portion tion to:		
Director of Pa	tents and Trademarks		
	Assignments		
Washing	ton, D.C. 20231		
2 DBYRNE 00000202 193790 09430313			
100 00 Gil			
SPTO Form 1595 [Unisys]		·	

ASSIGNMENT

WHEREAS, we Lewis M. Norton. Deborah A. Dahl, and Marcia C. Linebarger, residing respectively at 350 Hilltop Road, Paoli, Pennsylvania 19301; 1820 Gravers Road, Plymouth Meeting, Pennsylvania 19462; 8244 Fairview Road, Elkins Park, Pennsylvania 19027, are the inventors of the invention(s) for which we have made application for Letters Patent to the United States, identified as Docket No. TN147, entitled Task Oriented Dialog Model and Manager; and

WHEREAS, Unisys Corporation, a corporation of the state of Delaware having a place of business at Township Line and Union Meeting Roads, Blue Bell, Pennsylvania 19424, is desirous of acquiring the entire right, title and interest in said invention(s), said application and in any patents which may be granted thereon;

NOW THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, we hereby assign, sell and transfer unto said Unisys Corporation, its successors and assigns (hereinafter "Assignee"), our entire right, title and interest in and to all inventions disclosed in said application, in and to said application and in and to any patents for the United States of America, its territories and possessions and all foreign countries resulting from said invention(s) and said patent application, including all divisions, continuations, reissues and extensions thereof, all rights to claim priority based thereon, all rights to file foreign applications on said invention(s), and all patents, reissues and extensions thereof, issuing for said invention(s) in the United States of America and in any and all foreign countries.

We hereby grant to Assignee the right to apply in our or in its own name for patents corresponding to the above invention(s) in countries foreign to the United States, and all priority rights resulting from the above-identified United States patent application. We hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all patents that may be granted for said invention(s) to Assignee, and we agree that we and our successors, assigns or legal representatives thereof, shall be legally bound without additional compensation (except for any statutory fee applicable to witnesses), but without cost to us, to promptly communicate to Assignee or its representatives, all facts known to us or in our possession respecting said invention(s) whenever requested, to testify in any legal proceedings, to sign all lawful papers, execute all divisional, continuing and reissue applications, to make all rightful oaths and to generally aid said Assignee, its successors and assigns, as and when requested by them, in obtaining in the name of the Assignee and in enforcing proper patent protection for said invention(s) on behalf of the Assignee, in the United States and all countries foreign thereto.

We hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which conflicts, or would be in conflict, with this Assignment.

We further authorize and direct our attorneys to insert below* the serial number and filing date of said application now identified as Docket No. <u>TN-147</u> as soon as the same shall have been made known to them by the United States Patent Office.

The parties hereto acknowledge that they have specifically requested the present agreement to be drawn up in the English language.

Inventor

	The state of the s	
Lewis M. Norton (Typed Name)	(Simotors)	0/27/89
STATE OF Pennsylvania COUNTY OF Chester	(Signature)	(Date)
Sworn to and subscribed before me this a	7 day of <u>October</u> , 1999; by	
· · ·	Notary Public Notarial Seal Colleen A. McLaughlin, Notary Public Whitpain Twp., Montgomery County My Commission Expires Sept. 23, 2002 Member, Pennsylvania Association of Notarles	50_
	Inventor	
Deborah A Dahl (Typed Name) STATE OF Pennsylvania COUNTY OF Chester	(Signature)	<u>Orf. 27,</u> 1999 (Date)
Sworn to and subscribed before me this 2	7-day of October, 1999, by	
	Notary Public	<u> </u>

Notarial Seal
Colleen A. McLaughlin, Notary Public
Whitpain Twp., Montgomery County
My Commission Expires Sept. 23, 2002
Member, Pennsylvania Association of Notaries

Docket No. __TN147_

/ Inventor / /
Marcia C. Linebarger /// (- Frebry 10/2) (99
(Typed Name) (Signature) (Date)
STATE OF PA COUNTY OF Check
Sworn to and subscribed before me this 27 day of October, 1927 by
Notary Public Notary Public
Notarial Seal Colleen A. McLaughlin, Notary Public Whitpain Twp., Montgomery County My Commission Expires Sept. 23, 2002 Member, Pannsylvania Association of Notaries
* The above assignment covers application Serial No, filed on _
, 19 The above insertion made by me this day of
\cdot
of UNISYS Corporation

OFFICIAL

FAX RECEIVED

AUG 1 9 2003

GROUP 2100